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Atty Docket No. 019748-000110US

PTO FAX NO.: (703) 872-9306

ATTENTION: Examiner COLBERT, ELLA

Group Art Unit 3624

**OFFICIAL COMMUNICATION  
FOR THE PERSONAL ATTENTION OF  
EXAMINER COLBERT, ELLA**

**CERTIFICATION OF FACSIMILE TRANSMISSION**

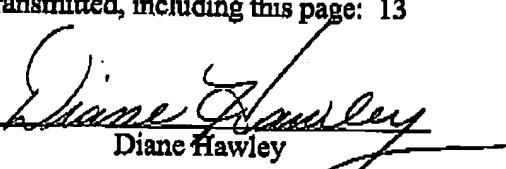
I hereby certify that the following documents in re Application of JIVENDRA K. KALE et al., Application No. 09/672,116, filed September 27, 2000 for INVESTMENT PORTFOLIO CONSTRUCTION METHOD AND SYSTEM are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

- 1) Transmittal Form (1 p);
- 2) Petition For Extension of Time (1 p in duplicate); and
- 3) Amendment Under 37 CFR 1.116 Expedited Procedure Examining Group 3624 (9 pp).

Number of pages being transmitted, including this page: 13

Dated: March 3, 2005

  
Diane Hawley

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**TRANSMITTAL  
FORM**

(To be used for all correspondence after initial filing)

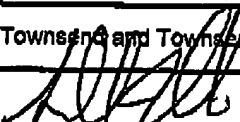
Total Number of Pages in This Submission

		PTO/SB/21 (08-04)	
		Application Number	09/672,116
		Filing Date	September 27, 2000
		First Named Inventor	Kale, Jivendra K.
		Art Unit	3624
		Examiner Name	COLBERT, ELLA
12		Attorney Docket Number	019748-000110US

**ENCLOSURES (Check all that apply)**

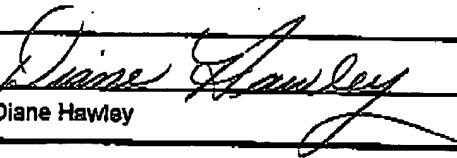
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC			
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences			
<input checked="" type="checkbox"/> Amendment/Reply	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information			
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter			
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):			
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer				
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund				
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____				
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD				
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53					
<table border="1"> <tr> <td>Remarks</td> <td colspan="2">The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.</td> </tr> </table>			Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	
Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.				

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Ardeshir Tabibi		
Date	March 3, 2005	Reg. No.	48,750

**CERTIFICATE OF TRANSMISSION/MAILING**

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Signature			
Typed or printed name	Diane Hawley	Date	March 3, 2005

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AMENDMENT UNDER 37 CFR 1.116  
EXPEDITED PROCEDURE -  
EXAMINING GROUP 3624

PATENT  
Attorney Docket No.: 019748-000110US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

JIVENDRA K. KALE et al.

Application No.: 09/672,116

Filed: September 27, 2000

For: INVESTMENT PORTFOLIO  
CONSTRUCTION METHOD AND  
SYSTEM

Customer No.: 20350

Confirmation No. 7098

Examiner: COLBERT, ELLA

Technology Center/Art Unit: 3624

AMENDMENT UNDER 37 CFR 1.116  
EXPEDITED PROCEDURE  
EXAMINING GROUP 3624

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action mailed November 3, 2004 on the above-referenced application, please enter the following amendments and remarks:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

replacement sheet and an annotated sheet showing changes.

Remarks/Arguments begin on page 7 of this paper.